

CLIENT RISK ASSESSMENT FORM | SELLER

Please complete the form in all fields in **black ink**. The completed form must be given to the Risk Officer to sign off before being placed in the transaction file. Please make use of the notes section to relay any information you may find relevant to the risk assessment of this transaction.

Client name(s) & surname/ Entity name			
Designated Representative, if applicable			
Transaction relating to client (physical address)			
Property Practitioner			
Date of completion			
QUESTIONS	LOW RISK	MEDIUM RISK	HIGH RISK
Could you establish, without investigation, who the actual owner(s) of the property is? (Guide - Yes - Low Risk , Low risk / No - High Risk)			
Is the owner(s) a natural person? (Guide -No - High Risk / Yes - low/ medius Risk)			
Is the owner an Entity (Company/Trust/ CC/ Partnership, etc.) (Guide -No - Low Risk / Yes - High Risk)			
Is the client anonymous - <i>Refer to Risk Compliance Officer immediately!</i> (Guide - No - Low Risk / Yes - High Risk)			
Is the owner South African or a foreign national? (Guide -South African - Low Risk / Foreign National - High Risk)			
Will the proceeds of the sale be paid directly to the owner of the property, or is it paid to an unknown third party? (Guide -Yes - High Risk, No - Low Risk)			
Has the client at all indicated or refused to produce the required documentation for identification and verification as per FICA (Guide -No - Low Risk , Requires an extension to get all the documentation -Medium, Yes - High Risk)			
NOTES / CONSIDERATIONS (Any notes or comments from the agent)			
RISK OFFICER ASSESSMENT	Assessment	Reason	
Final risk category after considerations			
Have all the CDD information been received from the agent?			
Agent Signature		Compliance Officer Signature	